



In re Application of:

BRIAN C. TARBOX, ET AL.

Application No.: 10/073,632

Filed: February 11, 2002

For: SYSTEMS AND METHODS FOR
IMPROVING INVESTMENT PERFORMANCE

Docket No.: 333307.00002

Examiner: Ella Colbert

Group Art Unit: 3624

Confirmation No.: 6664

Date: April 4, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an Amendment in the above-identified application.

☒ No additional fee is required.

The fee has been calculated as shown below:

CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
TOTAL CLAIMS	21	MINUS	22	= 0	x \$25 \$50	\$ 000.00
INDEP. CLAIMS	2	MINUS	3	= 0	x \$100 \$200	\$ 000.00
Fee for Multiple Dependent claims \$180°/\$360.						
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT---						\$ 000.00

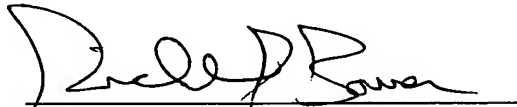
☐ Verified Statement claiming small entity status is enclosed, if not filed previously.

☐ A check in the amount of \$ ____ is enclosed.

☐ Charge the amount of \$ ____ to Deposit Account No. 50-1710 to cover the additional

claims fee. A duplicate copy of this sheet is enclosed.

- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 50-1710. A duplicate copy of this paper is enclosed.
- ☐ Charge the amount of \$ _____ to Deposit Account No. 50-1710 to cover the Extension fee for response within ____ () months. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$ _____ to Deposit Account No. 50-1710 to cover the Information Disclosure Statement fee. A duplicate copy of this sheet is enclosed.
- ☒ Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 625-3507. All correspondence should continue to be directed to our below-listed address.



Attorney for Applicants

Richard P. Bauer

Registration No. 31,588

PATENT ADMINISTRATOR
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Atty Dkt 333307.00002

PATENT APPLICATION

3624
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:)
: Examiner: Colbert, Ella
TARBOX, BRIAN C. ET AL.)
: Group Art Unit: 3624
Application No.: 10/073,632)
: Confirmation No.: 6664
Filed: February 11, 2002)
: April 4, 2006
For: SYSTEMS AND METHODS FOR)
IMPROVING INVESTMENT)
PERFORMANCE)

Mail Stop No Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Sir:

In response to the Office Communication of March 1, 2006, and presuming the draft Second Supplemental Amendment referred to in the Office Action has not been entered (USPTO PAIR indicates that this draft Second Supplemental Amendment has not been entered), please enter the following:

I. Amendments to the Specification begin on page 3 of this paper.

II. Amendments to the Drawings begin on page 4 of this paper.

III. Amendments to the Claims begin on page 5 of this paper.

IV. Remarks begin on page 14 of this paper.